- (7) (a) W. H. Saunders and A. F. Cockerill, "Mechanisms of Elimination Reactions", Wiley-Interscience, New York, N.Y., 1973, pp 47-104; M. J. Miller
- and G. M. Loudon, *J. Org. Chem.*, **40**, 126 (1975). (8) (a) W. E. Bachmann, M. P. Cava, and A. S. Dreiding, *J. Arn. Chem. Soc.*, **76**, 5554 (1954); (b) N. C. Deno and R. E. Fruit, Jr., *ibid.*, **90**, 3502 (1968); (c) G. A. Lee and H. H. Freedman, Tetrahedron Lett., 1641 (1976).
- (9) (a) D. H. Rosenblatt, A. J. Hayes, Jr., B. L. Harrison, R. A. Streaty, and K. A. Moore, *J. Org. Chem.*, **28**, 2790 (1963); (b) D. H. Rosenblatt, L. A. Hull, D. C. DeLuca, G. T. Davis, R. C. Weglein, and H. K. R. Williams, *J. Am.* Chem. Soc., 89, 1158 (1967); (c) L. A. Hull, G. T. Davis, D. H. Rosenblatt, and H. K. R. Williams, ibid., 89, 1163 (1967); (d) L. A. Hull, G. T. Davis, D. H. Rosenblatt, and C. K. Mann, J. Phys. Chem., 73, 2124 (1969), and other papers from Rosenblatt's laboratory. (10) (a) J. R. L. Smith and L. A. V. Mead, *J. Chem. Soc.*, *Perkin Trans. 2*, 206
- (1973); (b) C. A. Audeh and J. R. L. Smith, J. Chem. Soc. B, 1741 (1971), and references cited therein.
- (11) (a) C. Fillaltre, R. Lalande, and J. P. Pometan, Bull. Soc. Chim. Fr., 1147 (1975), is a recent report with references; D. M. Grahm and R. B. Mesrobian, Can. J. Chem., 41, 2938 (1963).
- R. B. Roy and G. A. Swann, *Chem. Commun.*, 427 (1966).
 The reaction of amines with ¹⁶O labeled acyl peroxides leads to no oxygen scrambling (i) in the *O*-acylhydroxylamine adducts. ^{5d} This implies that the



reverse reaction does not occur. In the framework of the two-step, twoelectron mechanism, nucleophilic attack by the amine on the sulfonyl peroxide bond to generate 2 is considered irreversible by analogy

- (14) Taken from J. Hine, "Structural Effects on Equilibria in Organic Chemistry", Wiley-Interscience, New York, N.Y., 1975, p 66.
- (15) Reference 14, p 72.

- (16) Normally alkoxides or potassium hydroxide is required. R. W. Layer, Chem. Rev., 62, 489 (1962), p 505; J.-C. Richer and D. Perelman, Can. J. Chem., 48, 570 (1970)
- (17) (a) J. Deles, Rocz. Chem., 43, 1165 (1969); Chem. Abstr., 72, 2912 (1970); (b) J. Epstein, P. L. Cannon, Jr., and J. R. Sowa, J. Am. Chem. Soc., 92, 7390 (1970)
- T. Matsui and N. Kokura, Bull. Chem. Soc. Jpn., 44, 756 (1971). (18)
- (19) M-M. Wei and R. Stewart, J. Am. Chem. Soc., 88, 1974 (1966).
 (20) J. Hine, "Physical Organic Chemistry", McGraw-Hill, New York, N.Y., 1962, pp 206–216; see also ref 7a, pp 484–490.
- (21) (a) T.E. Stevens, J. Org. Chem., 32, 670 (1967); (b) F. A. Johnson, C. Haney, and T. E. Stevens, *ibid.*, 32, 466 (1967).
 (22) S. K. Brauman and M. E. Hill, 34, 3381 (1969).
 (23) S. Oae and T. Sakurai, *Bull. Chem. Soc. Jpn.*, 49, 730 (1976).

- (24) A. J. Parker, Chemtech, 300 (1971)
- (25) R. W. Layer, Chem. Rev., 62, 489 (1962).
- (26) Reference 7a, p 185.
- (27) C. A. Audeh and J. R. L. Smith, J. Chem. Soc. B, 1741 (1971), discuss regioselectivity in one-electron oxidations of nitrogen (28) A. Galat and G. Elion, J. Am. Chem. Soc., 61, 3585 (1939); J. Graymore,
- I. Chem. Soc., 1116 (1947) (29) E. Cherbuliez, G. Weber, G. Wyss, and J. Rabinowitz, Helv. Chim. Acta,
- 48, 1031 (1965) (30) C. S. Marvel and W. A. Lazier, "Organic Syntheses", Collect. Vol. I, Wiley,
- New York, N.Y., 1932, 99, note 8
- (31) A. C. Cope and B. Ciganek, "Organic Syntheses", Collect Vol. IV, Wiley, New York, N.Y., 1963, p 339.
- (32) 1a was prepared according to ref 6a. (33) J. T. Stock, J. Chem. Educ., 44, 573 (1967)
- (34) Tetrahydrofuran was purified by distillation from LiAIH4, and ethyl acetate for kinetic studies was purified according to L. F. Fieser, "Experiments in Organic Chemistry", 3rd ed, D. C. Heath, Boston, Mass., 1955, p 287.

The Effect of Solvent on Intramolecular General Base Catalysis in the Hydrolysis of α,β -Unsaturated Schiff Bases

Ralph M. Pollack,* Robert H. Kayser, and James R. Damewood, Jr.

Contribution from the Laboratory for Chemical Dynamics, Department of Chemistry, University of Maryland Baltimore County, Baltimore, Maryland 21228. Received April 14, 1977

Abstract: The hydrolytic reactions of a series of Schiff bases (2a-g) derived from cyclohexene-1-carboxaldehyde were examined in 50% (v/v) aqueous dioxane. The rate constants for water attack on the protonated Schiff bases ($k_1^{H_2O}$) showed relatively small rate enhancements (3- to 12-fold) over the corresponding rate constants in water, while accelerations in the rate constants for the acetate-catalyzed attack of water were somewhat larger (17- to 50-fold). A linear correlation of log k_1 ^{H₂O} with Schiff base pK_a in 50% dioxane (slope = -0.95 ± 0.08) revealed that the rates of water attack for Schiff bases derived from glycine (2e) and aspartic acid (2g) were $>10^3$ -fold larger than predicted, owing to intramolecular general base catalysis by internal carboxylate groups. Analogous to results obtained in purely aqueous solution, carbinolamine breakdown occurs through both zwitterionic and protonated carbinolamine intermediates in aqueous dioxane. The effect of decreased solvent polarity on the various rate constants reflecting rate-limiting carbinolamine breakdown was investigated and it was found that the breakdown of the protonated carbinolamine is also enhanced by the internal base in 2e.

Introduction

Previous work has suggested that the hydrolytic reactions of Schiff bases are accelerated in aqueous dioxane solvents relative to water, despite the fact that the concentration of water is decreased.¹ In addition to modest increases in the uncatalyzed attack of water, the general base catalyzed process shows large rate enhancements in dioxane-water mixtures. In a preceding paper² we investigated the hydrolysis of a series of α,β -unsaturated Schiff bases (2) in water with a variety of imino substituents. The efficient intramolecular general base catalysis observed for 2e and 2g in water prompted us to examine the possible enhancement of such catalysis in less polar solvents, analogous to the acceleration observed for the intermolecular process.



Results

The hydrolysis of the α,β -unsaturated Schiff bases **2a**-g was



Table I. Kinetic Parameters for Rate-Determining Nucleophilic Attack for the Hydrolysis of 2 in 50% (v/v) Aqueous Dioxane^a

Schiff base	pKa ^b	$10^{2}k_{1}^{H_{2}O},$ s ⁻¹	$10^{-4}k_1^{\text{OH}^-},$ M ⁻¹ s ⁻¹ c	$k_1^{AcO^-}, M^{-1} s^{-1}$
2a	6.98 ± 0.02	0.054 ± 0.003	0.996 ± 0.040	0.037 ± 0.002
20 2c	6.70 ± 0.02 2.76 ± 0.03	0.182 ± 0.003 670 ± 50	4.78 ± 0.09 356 ± 53	~ 110
2d 2e	4.97 ± 0.02 7.31 ± 0.02	13.7 ± 0.5 45.0 ± 3.0	21.9 ± 0.4 1.59 ± 0.27	2.5 ± 0.2
2g	7.54 ± 0.02	34.1 ± 1.5	0.676 ± 0.015	

^{*a*} At 25 °C and ionic strength 0.50 (KCl); all errors are standard deviations. ^{*b*} Determined spectrophotometrically using the corrected pH (see Experimental Section). ($K_a = [2][H^+]/[2H^+]$). ^{*c*} Calculated using a value of 2.7 × 10⁻¹⁵ M⁻² for the stoichiometric ionization constant for water in 50% (v/v) aqueous dioxane (see Experimental Section).

pH) or 230-235 nm (high pH), and gave excellent first-order kinetics in all cases. The kinetics of the hydrolysis of 2a-g in 50% dioxane are quite similar to what was previously² observed in purely aqueous solution and the results may be interpreted in terms of the same mechanism (Scheme I). This mechanism Scheme I



is analogous to the scheme proposed by Sayer and Jencks^{3,4} in their analysis of Schiff base formation.

The observed rate constants, extrapolated to zero buffer concentration (k^0_{obsd}) , are plotted vs. pH in Figure 1. Values of pH (= $-\log [H^+]$) were determined by subtracting 0.18 from the pH meter reading, as described in the Experimental Section. The dependence of the rate on pH suggests that at moderate to high pH (>5 to 7, depending on the Schiff base), nucleophilic attack on the protonated Schiff base (2H⁺) is rate determining and the observed rate constants in this region are described by

$$k_{\text{obsd}} = (k_1^{\text{H}_2\text{O}} + k_1^{\text{OH}^-}[\text{OH}^-] + k_1^{\text{cat}}[\text{B}]) \left(\frac{[\text{H}^+]}{[\text{H}^+] + K_a}\right)$$
(1)

In this equation, $k_1^{H_2O}$ and $k_1^{OH^-}$ are assigned to the rate constants for water and hydroxide in attack on $2H^+$, while k_1^{cat} is the rate constant for general-base-catalyzed attack of water, and K_a is the dissociation constant of $2H^+$ under the experimental conditions. The kinetic parameters of eq 1 for 2a-g are summarized in Table I. The rate constants for water attack $(k_1^{H_2O})$ and the catalytic constants $(k_1^{AcO^-})$ are independent of the pH meter correction necessitated in aqueous dioxane. In contrast, the absolute values of pK_a are directly dependent on the pH correction, and values of $k_1^{OH^-}$ depend on the autoprotolysis constants of water in the mixed solvent in addition to the correction needed for hydrogen ion concentration. However, the *relative* values of $k_1^{OH^-}$ and pK_a are not affected by the magnitude of these corrections in dioxane-water.

The breaks in the pH-rate profiles that occur below pH 5-7



Figure 1. The pH-rate profiles for the hydrolysis of $2a(\triangle)$, 2b, (\Box) , 2c(x), $2d(\triangle)$, 2e(O), and $2g(\bullet)$, corrected for buffer catalysis in 50% (v/v) aqueous dioxane at 25 °C ($\mu = 0.5$). The theoretical curves were calculated using eq 1-3 from the constants in Tables I and II. The curves for 2e and 2g are theoretical only above pH 3 and 8, respectively.

(Figure 1) reflect the expected⁵ change in rate-determining step from formation to breakdown of a carbinolamine intermediate (T^o) according to Scheme II. The data below pH 5-7 Scheme II

$$2 \xrightarrow[K_a]{H^+} 2H^+ \xleftarrow[k_1]{K_1} T^\circ \xrightarrow[k_2^\circ]{} 1 + RNH_2$$

were analyzed in the manner previously² described for the same reaction in aqueous solution. The rate constants were extrapolated to zero buffer concentration to give values of the rate constant in the absence of buffer (k^0_{obsd}) . These rate constants were then expressed in terms of the steady state equation

$$k^{0}_{\text{obsd}} = \frac{K_{1}k_{2}^{0}k_{1}^{0}}{k_{1}^{0}[\mathrm{H}^{+}] + K_{1}k_{2}^{0}} \left(\frac{[\mathrm{H}^{+}]}{[\mathrm{H}^{+}] + K_{a}}\right)$$
(2)

where $k_1^0 = k_1^{H_2O} + k_1^{OH^-}[OH^-]$, and the equilibrium constant for carbinolamine formation from $2H^+$ (i.e., $[T^\circ][H^+]/[2H^+])$ is given as $K_1 = k_1/k_{-1}$.

Substituting values of k_1^0 measured at higher pH (where carbinolamine formation is rate determining) into eq 2 allowed $K_1k_2^0$ to be calculated in the low to moderate pH range. Plots of $K_1k_2^0$ vs. hydrogen ion concentration are nonlinear for **2b** and **2d**, consistent with the mechanism of Scheme I. The variation of $K_1k_2^0$ with hydrogen ion concentration was then analyzed² using eq 3, which can be derived from the detailed mechanism for carbinolamine breakdown in Scheme I.

$$K_{1}k_{2}^{0} = K_{1} \left[\frac{k_{5}[\mathrm{H}^{+}]}{K_{a}^{\mathrm{T}^{+}}} + \frac{k_{6}K_{4}(k_{3}[\mathrm{H}^{+}]/K_{a}^{\mathrm{T}^{+}} + k_{4})}{k_{6}K_{4} + (k_{3}[\mathrm{H}^{+}]/K_{a}^{\mathrm{T}^{+}} + k_{4})} \right]$$
(3)

The kinetic parameters of eq 3 for **2b** and **2d** are summarized in Table II, and Figure 2 illustrates the fit of the experimental values of $K_1k_2^0$ to this equation for the hydrolysis of **2b** in the pH range 2.1-4.6. The curves in Figure 1 are the pH-rate profiles calculated from the parameters in Tables I and II using eq 1-3 and illustrate the excellent agreement with the experimental data over the entire pH range examined.

In contrast to the results with **2b** and **2d**, the values of $K_1k_2^0$ for **2c** were found to vary linearly with hydrogen ion concentration within experimental error. This result is not unexpected in view of the linearity of the same plot in water.² Furthermore, the results of Sayer⁴ show that for Schiff bases derived from sufficiently weakly basic amines, the stability of T[±] is de-

Pollack, Kayser, Damewood / Hydrolysis of α , β -Unsaturated Schiff Bases



Figure 2. The dependence of $K_1k_2^0$, the rate constant reflecting rate-determining carbinolamine breakdown corrected for buffer catalysis, on hydrogen ion concentration for 2b in the pH range 2.1-4.6. The theoretical curve was calculated using eq 3 and the parameters for 2b in Table 111.

creased to the point where the only significant routes for carbinolamine breakdown are through T^+ (k_5) at low pH, and through solvent-mediated isomerization of T° to T^{\pm} (k_4) at moderate pH. Equation 3 then reduces to eq 4, allowing a determination of K_1k_5/K_a^{T+} and K_1k_4 .

$$K_1 k_2^0 = K_1 \left[\frac{k_5 [\mathrm{H}^+]}{K_{\mathrm{a}}^{\mathrm{T}^+}} + k_4 \right]$$
(4)

The rate constants for **2e** could not be analyzed below pH 3 owing to protonation of the internal carboxylate group. From pH 3.4 to 7, however, a plot of $K_1k_2^0$ vs. [H⁺] for **2e** showed no significant deviation from linearity. The linearity of this plot requires that eq 3 reduce to a simple two-parameter equation. A variety of limiting cases may be envisioned⁴ which would account for this linearity, depending on the magnitudes of the ratios k_5/k_3 and k_6/k_{-4} . However, the slope will correspond to $K_1k_5/K_a^{T^+}$ in all cases, except the case when $k_6K_4 \gg (k_4 + k_3[H^+]/K_a^{T^+})$. If this inequality holds, eq 3 will reduce to

$$K_1 k_2^0 = K_1 \left[\frac{(k_5 + k_3)[\mathrm{H}^+]}{K_a^{\mathrm{T}^+}} + k_4 \right]$$
(5)

However, the condition $k_6K_4 \gg (k_4 + k_3[H^+]/K_a^{T^+})$ appears unreasonable for the following reason.⁶ A limit for k_6/k_{-4} (i.e., k_6K_4/k_4) may be obtained from the observed variation of this ratio for **2b** and **2d** in 50% dioxane (Table II) and in water.² The values for k_6/k_{-4} decrease with increasing Schiff base p K_a in both solvent systems, indicating that this ratio for **2e** would be somewhat less than it is for **2b**, i.e., close to unity in 50% dioxane in the absence of any enhancement in k_6 or k_{-4} due to the internal base. Because there is no reasonable mechanism which would allow breakdown of T[±] to be subject to internal general base catalysis, no acceleration in k_6 due to the carboxylate group in **2e** is expected. Therefore, whether or not k_{-4} is enhanced by the carboxylate group of **2e**, k_6 should not be significantly larger than k_{-4} and we may assign the slope of the plot of $K_1k_2^0$ vs. [H⁺] to $K_1k_5/K_a^{T^+}$ with some confidence.

Table II. Kinetic Parameters for Scheme 1 for Rate-Determining Breakdown of Carbinolamine in 50% (v/v) Aqueous Dioxane^a

	Schiff base				
	2b	2c	2d	2e	
$10^8 K_1 k_4,$ M s ⁻¹	4.2	210	21		
$10^{7}K_{1}K_{4}k_{6},$ M s ⁻¹	0.86		12	≥0.52	
$10^{3}K_{1}k_{3}/K_{a}^{T+}$,	0.18		1.5		
s ⁻¹	(1.8)		(2.7)		
$10^{3}K_{1}k_{5}/K_{a}^{T^{+}},$	0.028	65	1.75	2.8	
s ⁻¹	(4.1)	(3.8)	(2.7)		

^{*a*} Determined at 25 °C and ionic strength 0.5 (KCl). Numbers in parentheses correspond to the ratio of the rate constant in aqueous dioxane to the same constant in water.²

Table III. Comparison of the Rate Constants for the Hydrolysis of 2 in 50% (v/v) Aqueous Dioxane with Rate Constants Measured in Water^{*a*}

Schiff base	${k_1^{H_2O}(D)/ \over k_1^{H_2O}(W)}$	$k_1^{AcO^-}(D)/k_1^{AcO^-}(W)$	$pK_a(D) - pK_a(W)$
2a	4.95	41.7	-1.36
2b	2.90	16.5	-0.79
2c	9.30	55	-1.60
2d	3.32	20.5	-0.84
2e	12.2		-0.26
2g	10.7		-0.10

 a (D) denotes constants measured in 50% aqueous dioxane (Table 1), (W) indicates constants determined in water (see Table I in ref 2).

The intercept of the plot of $K_1k_2^0$ vs. $[H^+]$ (5.2 ± 0.4 × 10⁻⁸ M s⁻¹) for **2e** cannot be definitively assigned because of the possibility of internal catalysis enhancing K_1k_4 . A similar type of intramolecular proton transfer has been postulated⁴ to explain the enhanced rate of conversion of T[±] to T^o in the formation of hydrazines from *p*-chlorobenzaldehyde. If K_1k_4 is increased such that $k_4 \gg k_6K_4$, then the intercept would correspond to $K_1K_4k_6$, while if no acceleration in K_1k_4 occurs, such that $k_4 \approx K_4k_6$, then the intercept would be the quantity $K_1K_4k_6k_4/(K_4k_6 + k_4)$. Consequently, all that can be said is that the intercept represents a lower limit for $K_1K_4k_6$.

Discussion

Solvent Effect on Schiff Base pK_a 's. The effect of changing the solvent from water to 50% dioxane on the pK_a 's of the iminium ions depends strongly on the nature of the R group on the nitrogen (Table III). For nonpolar R groups (2a and 2c), the protonated Schiff bases are stronger acids relative to protonated solvent in dioxane-water than in pure water by about 30-fold, whereas those compounds with carboxylate ions (2eH⁺ and 2gH⁺) show only a slightly greater tendency to dissociate in dioxane-water solutions than they do in water at the same concentration of acid. Compounds 2b and 2d, with hydroxyl and amide groups, respectively, behave in between the other two types, showing pK_a decreases of ca. 0.8 log units in 50% dioxane-water.

These results are similar to those previously observed with simple amines. As solvent polarity is decreased, the thermodynamic pK_a 's of protonated amines generally decrease.^{7,8} Marshall and Grunwald⁹ found that the pK_a 's of several protonated arylamines as well as ammonium ion and trimethylammonium ion are all 0.4–0.9 pK units lower in 45% dioxane-water solution than in water. In contrast, the thermodynamic pK_a of the ammonium group of glycine is reported^{10a} to *increase* from a value of 9.6 in water to 10.2 in 45% dioxane. In addition the pK_a increase of the glycine carboxyl group in 45% dioxane (from 2.35 in water to 3.1 in 45% dioxane)^{10a} is significantly less than the corresponding pK_a increase for acetic acid (1.6 pK_a units).^{10b} This suggests that electrostatic interactions in the glycine zwitterion become more important in dioxane-water mixtures and apparently stabilize both the ammonium and carboxylate ions. Similar intramolecular interactions in the zwitterions of **2e** and **2g** may account for the relatively small pK_a decreases observed in 50% dioxane. Hydrogen bonded structures such as **3** probably are more im-



portant in the stabilization of 2e and 2g in dioxane-water than in strictly aqueous solution.¹¹

Further support for the importance of intramolecular interactions in aqueous dioxane is provided by the smaller decreases observed in the measured pK_a 's of 2b and 2d compared to 2a and 2c (Table III). Both 2b and 2d should also be capable of stabilizing the positive change on the iminium ion in 50% dioxane by intramolecular hydrogen bonding via the alcohol and amide functional groups whereas such stabilization is not available for either 2a or 2c. The smaller effect of these two groups on the pK_a 's of 2b and 2d relative to the effect of the carboxylate ions in 2e and 2g is expected due to their relative hydrogen bonding capabilities.

Rate-Determining Nucleophilic Attack. The rate constants for nucleophilic attack on $2H^+$ in 50% aqueous dioxane are compared to the kinetic parameters obtained for the corresponding hydrolysis in water in Table III. For Schiff bases 2a-dthe rate of water attack (k_1H_2O) is greater by a factor of threeto ninefold in the mixed solvent, similar to the rate increase in 50% dioxane for the hydrolysis of 2,2,2-trifluoro-N-(3methyl-2-cyclohexenylidene)ethylamine (sixfold), and an analogous explanation appears reasonable.^{1b} Formation of the transition state during the attack of water on $2H^+$ involves the transfer of a positive charge from a nitrogen to an oxygen (eq 6). Since the equilibrium constant for transfer of a proton from

$$\begin{array}{c} H_{2}^{\text{HNR}} \\ H_{2}^{\text{HNR}}$$

a protonated Schiff base to solvent increases as the percent dioxane increases, it might be expected that the rate of attack of water on $2H^+$ would also increase in dioxane-rich media. We have shown^{1b} that changes in the Schiff base pK_a can adequately account for the rate constant variation in aqueous dioxane solutions for the hydrolysis of 2,2,2-trifluoro-N-(3methyl-2-cyclohexenylidene)ethylamine.

The rate constant for the general-base-catalyzed hydrolysis of **2a-d** shows a larger solvent effect than $k_1^{H_2O}$ (Table III). The catalytic constants for acetate in aqueous dioxane are 17to 55-fold greater than the corresponding rate constants in water.¹³ The greater enhancement of k_1^{cat} relative to $k_1^{H_2O}$ may be due to the destabilizing effect of the mixed solvent on the two ionic reactants involved in the acetate-catalyzed hydrolysis. An estimate of the *maximum* rate acceleration to be expected from this effect may be obtained by considering the solvent effect on a simple charge neutralization process (eq 7).

$$CH_{3}COO^{-} + C = NHR \implies CH_{3}COOH + C = NR (7)$$

$$2H^{+} \qquad 2$$



Figure 3. Correlations of the rate constants for water attack, $k_1 H_{2O}$ (O, slope = -0.96) and hydroxide ion attack, $k_1^{OH^-}$ (\Box , slope = -0.55) with Schiff base p K_a . Solid points (\bullet and \blacksquare) are for Schiff bases with internal carboxylate groups (2e and 2g).

The decrease in the Schiff base pK_a 's in 50% dioxane, coupled with an increase in the pK_a of acetic acid ($\Delta pK_a = pK(D) - pK(W) = 1.46$),¹⁴ increases the ratio [CH₃COOH][2]/ [CH₃COO⁻][2H⁺] by 180- to 10³-fold in the mixed solvent compared to water. The corresponding rate increase in k_1^{cat} (17- to 55-fold) is significantly less than the increase in the ratio [CH₃COOH][2]/[CH₃COO⁻][2H⁺] indicating that charge destruction in the transition state for the acetate-catalyzed hydrolysis of 2H⁺ is not complete. This conclusion is consistent with structure-reactivity correlations for k_1^{cat} in water (e.g., $\beta \simeq 0.4$)².

In order to evaluate the possibility of intramolecular catalysis of water attack by the carboxylate ions of **2e** and **2g** the rate constants for water and hydroxide ion attack on **2H**⁺ in aqueous dioxane were plotted vs. the Schiff base pK_a in the mixed solvent (Figure 3). The correlation for $k_1^{H_2O}$ has a larger slope (-0.96 ± 0.08) than the one for $k_1^{OH^-}$ ($-0.55 \pm$ 0.05) similar to the slopes of analogous plots for $k_1^{H_2O}$ and $k_1^{OH^-}$ in water² and consistent with the concept that the transition state for water attack is reached later than that for attack by hydroxide ion.¹⁵ While $k_1^{OH^-}$ for **2e** and **2g** lie close to the line described by the other Schiff bases, the values of $k_1^{H_2O}$ for **2e** and **2g** both show large positive deviations (>10³-fold) from the correlation for $k_1^{H_2O}$ in Figure 3. Because the internal carboxylate groups of **2e** and **2g** appear to enhance $k_1^{H_2O}$, but not $k_1^{OH^-}$, the positive deviations of $k_1^{H_2O}$ in Figure 3 may be ascribed to intramolecular general base catalysis by the internal bases.¹⁶

Although the hydrolysis of **2e** and **2g** in water also involves intramolecular catalysis,² the enhancement of $k_1^{H_2O}$ for **2e** and **2g** in water is only about 60-fold, much less than the acceleration of 10³-fold seen in aqueous dioxane. Intramolecular catalysis by the anionic carboxylate group results in charge destruction in the transition state (eq 8), and lowering the solvent polarity should cause a significant rate increase in this process, analogous to the effect in the intermolecular process.

The presence of the second carboxylate group in 2g does not appear to cause any additional increase in the rate of water attack on the iminium ion in aqueous dioxane. The positive deviation for 2g from the correlation for k_1H_2O (Figure 3) is

Pollack, Kayser, Damewood / Hydrolysis of α,β -Unsaturated Schiff Bases



about the same as for 2e. The relatively inefficient catalysis by a carboxylate group separated from the imino linkage by two carbon atoms was also observed for the hydrolysis of Schiff bases in water.² This result is analogous to a similar effect reported by Hine et al.¹⁷ for internal catalysis by protonated amine groups in Schiff base formation.

Carbinolamine Breakdown. The breakdown of carbinolamine intermediates to the corresponding amine and carbonyl compound is a complex process. Several different pathways are available for this reaction (Scheme I).^{3,4} The neutral carbinolamine (T°) may decompose to products through either a positively charged intermediate (T^{+}) or a dipolar ion (T^{\pm}). Depending on the reaction conditions, either formation or breakdown of these intermediates may be rate determining.

Values of combinations of several of these parameters could be obtained for **2b-e** and these are given in Table II, along with the ratios of the rate constants in aqueous dioxane to the same constants in water. The trends observed for these constants are similar to the ones in water; all pathways show a rate increase as the acidity of the protonated Schiff base is increased.

The effect of solvent variation can be determined for the quantities $k_1k_3/K_a^{T^+}$ and $K_1k_5/K_a^{T^+}$ since these constants are independent of the absolute value of pH. Small increases (two- to fourfold) are observed in $K_1k_3/K_a^{T^+}$ and $K_1k_5/K_a^{T^+}$ for **2b-d** in 50% dioxane. It is not possible to make a meaningful comparison of the rate ratios which reflect rate-determining formation and breakdown of $T^{\pm}(K_1k_4 \text{ and } K_1K_4k_6)$ in the two solvent systems since they are pH dependent and the pH scales in water and 50% dioxane are not directly comparable.⁷

The effect of the carboxylate group of 2e on the rate of carbinolamine breakdown can be determined for the pathway through T⁺. A comparison of the values of $K_1 k_5 / K_a^{T^+}$ for **2b** $(pK_a = 6.70)$ and for 2e $(pK_a = 7.31)$ should give a minimum estimate of the catalytic effect of this group since the trend in $K_1 k_5 / K_a^{T^+}$ is toward lower values as the Schiff base pK_a increases for compounds without internal carboxyl groups (Table II). The observed increase of 100-fold for 2e compared to 2b suggests that breakdown of the protonated carbinolamine (T^+) to products is subject to internal catalysis. Since the ratio $K_1/K_a^{T^+}$ is almost certainly similar for 2e and 2b, this rate increase is probably due to an effect of the carboxylate group on the magnitude of k_5 . Breakdown of T⁺ to products involves rate-determining proton transfer and is catalyzed by external bases. Therefore, intramolecular general base catalysis may be envisioned to proceed through the process of eq 9.



It is not possible to determine definitively if the k_3 process is subject to internal catalysis by the carboxylate group of **2e**. However, although conversion of T⁺ to T[±] is catalyzed by external bases, internal catalysis of this step for **2e** is not expected to be any more efficient than the solvent-catalyzed reaction. Unlike an external catalyst, the internal carboxyl group is not free to diffuse away to form T[±]. Instead, the internal base must first remove the proton from T⁺ and then transfer this proton to the solvent¹⁸ (eq 10).



Although the carboxyl group of **2e** could act as a catalyst to produce **4**, which could then deprotonate to give T^{\pm} , it can be readily calculated that the overall rate of this process is identical with the rate of solvent-mediated conversion of T^{+} to T^{\pm} . Direct conversion of T^{+} to T^{\pm} would occur at a rate controlled by the difference in the pK_a's of the hydroxyl group of T^{+} (pK_{OH}) and protonated solvent (pK_{SH}+) according to¹⁹

$$\log k_{\rm s} \approx 10 - (pK_{\rm OH} - pK_{\rm SH^+})$$
 (11)

The rate constant for conversion of T^+ to T^{\pm} through 4 (k_c) is given by

$$\log k_{\rm c} = \log k_{\rm x} + \log K_{\rm x} \tag{12}$$

Substituting values of log k_x ($\approx 10 - pK_{COOH} + pK_{SH+}$) and log K_x (= $pK_{COOH} - pK_{OH}$) into eq 12 gives the equivalent of eq 11 again, showing that this process should not increase the overall rate for conversion of T⁺ to T[±].

Summary

Intramolecular general base catalysis of Schiff base hydrolysis by carboxylate ions in relatively nonpolar solvents (e.g., 50% dioxane) is very efficient. Both formation of the carbinolamine intermediate and its breakdown through T⁺ are accelerated. An estimate of the magnitude of the combined effects of intramolecular catalysis and solvent variation may be obtained by comparing the rates of reaction for 2e in 50% dioxane and 2b in water. These compounds have similar basicity in water ($pK_a^{2e} = 7.57$, $pK_a^{2b} = 7.49$),² but only 2e has an internal carboxylate ion. The rate constant for water attack on 2eH⁺ in 50% dioxane (0.45 s^{-1}) is ca. 700-fold greater than it is for 2bH⁺ in water ($6.3 \times 10^{-4} \text{ s}^{-1}$). A similar comparison of the rates of carbinolamine breakdown through the protonated intermediate (T⁺) shows a rate enhancement of ca. 400-fold for 2e in aqueous dioxane relative to 2b in water.

It is possible that enzymes which function through Schiff base intermediates may utilize the combination of an apolar active site and a carboxylate ion acting as a general base to facilitate the formation and hydrolysis of these compounds. Although formation and hydrolysis of Schiff bases are usually rapid, model systems often cannot interconvert Schiff bases and carbonyl compounds rapidly enough to account for enzymatic rates.²⁰ This problem is particularly acute when Schiff bases of α,β -unsaturated ketones are involved owing to their relatively slow rates of hydrolysis.²¹ In this regard, it appears that this type of catalysis could be further enhanced by decreasing the solvent polarity even more. Even though the initial reactants are ionic (protonated Schiff base and internal carboxylate ion), a lowered solvent polarity does not appreciably alter the $\Delta p K_a$ between these groups in 2e. Consequently it would be expected that these groups would still exist in the ionic forms, even in solvents of very low ionizing power.

Experimental Section

Materials. The Schiff bases of 1-cyclohexene-1-carboxaldehyde (2a-g) were available from previous work.² Chloroacetic acid and potassium acetate were reagent grade and used without purification. Triethanolamine and trimethylamine were purified by recrystallization of their hydrochloride salts from ethanol-water and chloroform, respectively. Dioxane was purified by refluxing over sodium and distilling, followed by fractional distillation. Tests for peroxides (2%) KI) using freshly distilled dioxane were negative, but after storage for a number of weeks, further tests did indicate the presence of some peroxides. However, rates of hydrolysis were found to be the same in buffered solutions prepared from either freshly distilled or aged dioxane.22

Kinetic Methods. All kinetic measurements were carried out as previously described² at 25.0 \pm 0.2 °C and at ionic strength 0.50 maintained with potassium chloride. All solutions were 50% aqueous dioxane by volume (mole fraction of dioxane = 0.174) obtained by mixing equal volumes of water and dioxane. In order to keep the pH constant, buffered solutions were prepared using HCl, chloroacetate, acetate, triethanolamine, trimethylamine, and KOH. The total buffer concentrations did not exceed 0.10 M, except for solutions of 0.25 M HCL

Linear buffer plots were extrapolated to zero buffer concentration by a weighted least-squares analysis. Curved buffer plots were extrapolated to zero buffer concentration using observed rate constants obtained at low buffer concentrations (≤ 0.03 M). The errors introduced by this empirical extrapolation were only significant in the pH region where the hydrolysis undergoes a change in rate-determining step from breakdown to formation of the carbinolamine intermediate. However, the rate constants $(k_1^0 \text{ and } K_1 k_2^0, \text{ see eq } 2)$ were calculated without using the data in this pH range and were found to be consistent with extrapolated rates in the pH region in question. Rate constants for water $(k_1^{H_2O})$ and hydroxide ion attack $(k_1^{OH^-})$ and catalytic constants (k_1^{cat}) were obtained using eq 1 as previously described.² Values of $K_1 k_2^0$ were obtained from eq 2 using observed rates extrapolated to zero buffer concentration and known values of k_1^0 as previously outlined.2

Dissociation constants for the protonated Schiff bases (K_a) were determined in 50% aqueous dioxane by the procedure already described² using values of hydrogen ion concentration calculated as shown below.

pH Measurements. Hydrogen ion concentration in aqueous dioxane was measured with a Corning 112 pH meter equipped with a combination glass electrode calibrated at pH 7 and either pH 4 or 10 with aqueous standards. pH meter readings (B) in aqueous dioxane were converted to $-\log [H^+]$ using the equation $^{24a} -\log [H^+] = B + \log B$ $U_{\rm H}$. The correction term log $U_{\rm H}$ was calculated^{24b} from measured values of B for 0.25 to 0.002 M HCl in dioxane-water (ionic strength maintained at 0.5 with KCl). Log $U_{\rm H}$ was essentially constant over this acid concentration range (log $U_{\rm H}$ = -0.18 ± 0.02). Therefore at 25 °C, $-\log [H^+] = B - 0.18$ for 50% (v/v) dioxane-water at ionic strength 0.5. Results presented are expressed in terms of pH determined in this manner with the realization that $-\log [H^+]$ is actually $pC_{\rm H}$ in accordance with IUPAC recommendations.²⁵ Hydroxide ion concentration was calculated from the pH (as defined above) using the stoichiometric ionization constant for water ($K_w = 2.7 \times 10^{-15}$) in the mixed solvent. This value of K_w was calculated from pH meter

readings (B) of 0.005-0.05 N KOH (ionic strength maintained at 0.5 with KCl), using the equation $pK_w = B + \log U_H - \log [OH^-]$ and the known stoichiometric concentrations of KOH.

Acknowledgment. This work was supported by Grant GM20188 from the National Institutes of Health. We wish to thank Professors W. P. Jencks and E. Grunwald for helpful comments.

References and Notes

- (a) R. M. Pollack and M/ Brault, J. Am. Chem. Soc., 98, 247 (1976); (b) M. (1)Brault, R. H. Kayser, and R. M. Pollack, to be submitted for publication.
- R. M. Pollack and R. H. Kayser, J. Am. Chem. Soc., 99, 3379 (1977).
 S. Rosenberg, S. M. Silver, J. M. Sayer, and W. P. Jencks, J. Am. Chem. Soc., 96, 7986 (1974). (3)
- (4) J. M. Sayer, B. Pinksky, A. Schonbrunn, and W. Washtien, J. Am. Chem.
- Soc., **96**, 7998 (1974). W. P. Jencks, ''Catalysis in Chemistry and Enzymology'', McGraw-Hill, New York, N.Y., 1969, pp 490–496. (5)
- (6) In principle, this limiting case could be ruled out by measuring values of $K_1k_2^0$ at low pH; as [H⁺] increases, k_3 [H⁺]/ $K_a^{T^+}$ must eventually become comparable to k_6K_4 and deviations from linearity would become observable. Unfortunately, the protonation of the internal carboxylate group in 2e below pH 3 does not allow this limiting case to be ruled out directly.
- R. G. Bates, "Determination of pH Theory and Practice", 2nd ed, Wiley,

- (7) R. G. Bates, "Determination of pH Theory and Practice, 2nd ed, whey, New York, N.Y., 1973, Chapter 8.
 (8) H. Schneider in "Solute-Solvent Interactions", J. F. Coetzee and C. D. Ritchie, Ed., Marcel Dekker, New York, N.Y., 1969.
 (9) H. P. Marshall and E. Grunwald, J. Am. Chem. Soc., 76, 2000 (1954).
 (10) (a) H. S. Harned and C. M. Birdsall, J. Am. Chem. Soc., 65, 54, 1117 (1943); (b) H. S. Harned and B. B. Owen, "Physical Chemistry of Electrolyte Solu-tions". 2rd ed. Bainbeid New York, N.Y. 1958, p. 759. tions", 3rd ed, Reinhold, New York, N.Y., 1958, p 759
- (11) Intramolecular hydrogen bonding has been established^{12a} in the zwitterion of O-(N,N-dimethylamino)benzoic acid, and similar intramolecular interactions in aqueous dioxane have been reported ^{12b} for a carboxylate group uxtaposed to an imidazolium function
- (12) (a) M. Eigen and E. M. Eyring, J. Am. Chem. Soc., 84, 3254 (1962); (b) G. A. Rogers and T. C. Bruice, *ibid.*, 96, 2473 (1974).
- (13) These increases in k_1^{cat} are comparable to the increase observed¹ for the chloroacetate-catalyzed hydrolysis of another α,β -unsaturated Schiff base in 50% dioxane (41-fold).
- The pH of a 1:1 acetate buffer in 50% dioxane at ionic strength 0.5 is 5.91 (14)compared to a pK_{e} of 4.45 measured in water at ionic strength 1.0. (15) E. H. Cordes and W. P. Jencks, *J. Am. Chem. Soc.*, **85**, 2843 (1963). (16) Based on arguments already given,² nucleophillc participation by the
- carboxylate group in the hydrolysis of 2e in water is unlikely and similar considerations suggest that this pathway is also cf no Importance in aqueous dioxane
- (17) (a) J. Hine, M. S. Cholod, and W. K. Chess, Jr., J. Am. Chem. Soc., 95, 4270 (1973); (b) J. Hine and W.-S. Li, J. Org. Chem., 40, 2622 (1975).
 (18) If 4 does not proceed to T[±], but breaks down directly to products, such a
- process would be the same as the intramolecular catalyzed formation of products from T⁺ exhibited in the enhancement of k_5 .
- (19) M. Eigen, Angew. Chem., Int. Ed. Engl., 3, 1 (1964).
- (20) For example, one of the best model systems for a Schiff base enzyme mimics acetoacetate decarboxylase quite well, but the enzymatic rate of Schiff base formation is still ca. 10²-fold greater than in the model system. J. P. Guthrie and F. Jordan, *J. Am. Chem. Soc.*, **94**, 9132, 9136 (1972). M. Brault and R. M. Pollack, *J. Org. Chem.*, **41**, 346 (1976).
- (22) Anomalous rates for Schiff base formation have been reported in unbuffered dloxane.²³ However, these apparently resulted from reactions between peroxide impurities and amine reactants to form acidic catalysts. In the well-buffered solutions employed in the present work no anomalies were observed, even in the presence of added benzoyl peroxide.
- (23) T. J. Crowell, C. E. Bell, Jr., and D. H. O'Brien, J. Am. Chem. Soc., 86, 4973
- (1964).
 (24) (a) L. G. Van Ultert and C. G. Haas, J. Am. Chem. Soc., 75, 451 (1953); (b) L. G. Van Ultert and W. C. Fernelius, *ibid.*, 76, 5887 (1954).
 E. G. Van Ultert and W. C. Fernelius, *ibid.*, 76, 5887 (1954).